



Entergy Services, LLC
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Tel 504 576 6571
Fax 504 576 5579

Timothy S. Cragin
Assistant General Counsel
Legal Services - Regulatory

April 22, 2019

VIA Hand Delivery

Ms. Lora W. Johnson, CMC, LMMC
Clerk of Council
City Hall, Room 1E09
1300 Perdido Street
New Orleans, Louisiana 70112

Re: Certification of Third-Party Ethics Training per Resolution R-19-78

Dear Ms. Johnson:

Pursuant to Council Resolution R-19-78, attached please find a letter from Ernst & Young LLP, certifying that it conducted in-person third-party ethics training on April 15, 2019 for Entergy New Orleans, LLC's ("ENO") management level employees, as well as some non-management level ENO employees. The letter includes a list of the topics covered at the training and attaches, as Appendix A, a list of the employees that attended the training. Appendix A to the letter has had personal cell phone numbers redacted due to privacy considerations.

Please file an original and two copies into the record and return a date-stamped copy to our courier.

Sincerely,

A handwritten signature in blue ink that reads "Timothy S. Cragin".

Enclosure



APR 22 2019

Ms. Lora W. Johnson, CMC, LMMC

April 22, 2019

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cc (via electronic mail): Council President Jason Rogers Williams
Council Vice President Helena Moreno
Councilmember Joseph I. Giarrusso, III
Councilmember Jay H. Banks
Councilmember Kristin Gisleson Palmer
Councilmember Jared C. Brossett
Councilmember Cyndi Nguyen
Erin Spears
Clinton A. Vince, Esq.
Presley R. Reed, Jr., Esq.
Emma F. Hand, Esq.
J. A. Beatmann, Jr., Esq.
Basile Uddo, Esq.
Philip Movish, P.E.
Joseph Rogers, P.E.



Ernst & Young LLP
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New Orleans, LA 70139

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April 17, 2019

Kevin Boleware
Regulatory Coordinator
Entergy Services, Inc.
639 Loyola Avenue, New Orleans, LA 70113

Dear Mr. Boleware:

This report certifies that EY provided Ethics Training on April 15, 2019 to employees of Entergy New Orleans. The list of attendees is attached to this report in Appendix A.

The topics covered in the Ethics training included messages from Entergy and ENO leadership on the importance of Ethics, the definition of ethics and the fraud triangle, examples of ethical lapses in the utility industry, the role of leadership in building ethical behavior in an organization, key Entergy and ENO policies related to ethical issues, group discussions of numerous ethical scenarios including how to report ethical concerns within the organization, Entergy's "no retaliation" policy, and how to report ethical concerns including the Ethic's Line.

Should you have any questions please contact us at 504-581-4200.

Very truly yours,

Copy: Polly Rosemond, Director – Regulatory Operation

Appendix A:

Entergy New Orleans Ethics Training

Monday, April 15, 2019

Cell
Phone

	Attendee name	Title	Signature	
1	Antoinette Green-Brown	Mgr. Public Affairs	Antoinette Green-Brown	
2	Benjamin William Taylor	Engr. Mgr	Benjamin Taylor	
3	Brian Guillot	VP Regulatory	Brian Guillot	
4	Charlotte Cavell	Sr. Communication Specialist	Charlotte Cavell	
5	David Ellis	CEO	David Ellis	
6	David Peterson	OPS MGR	David Peterson	
7	Deborah Guidry	Algebra ⁰⁰ Supervisor	Deborah Guidry	
8	Demetric Mercadel	Cust SERV Spec SA	Demetric Mercadel	
9	Derek Mills			
10	Derrick Claiborne	Region Eng. Supervisor	Derrick Claiborne	
11	Istvan Molnar	2 Project Manager	Istvan Molnar	
12	Jessica Williams	Exec Asst to VP	Jessica Williams	
13	Keith Boos	Manager Region	Keith Boos	
14	Keith Wood	Manager, Resource Planning	Keith Wood	
15	Kevin Boleware	Reg. Coord. Director	Kevin Boleware	
16	Kim Mitchell	Kim Mitchell	Kim Mitchell	
17	Polly Rosemond	Polly Rosemond	Director Reg. Operations	
18	Rachel Waters	Compliance Analyst	Rachel Waters	
19	Seth Cureington	Dir. RPMO	Seth Cureington	
20	Tad Patella	Sr. Mgr. D Ops	Tad Patella	
21	Tara Raymond	Exec. Admin. Asst.	Tara Raymond	
22	Tyrone Wilson	Tyrone Wilson	CCO V&R	
23	Vincent Avocato	Mgr. Ops Planning	Vincent Avocato	
24	Wendy Hickock Robinson	VP Ethics Compliance	Wendy Hickock Robinson	
25	G.C. Pickering	Mgr. Safety & Compl.	G.C. Pickering	
26	Kerry A. Jones	Project Manager	Kerry A. Jones	
27	Caenecoro Coates	OED	Caenecoro Coates	
28				
29				
30				

EY
DOUGLAS TYMKIW
Jessica Hewett
Scott Witte

